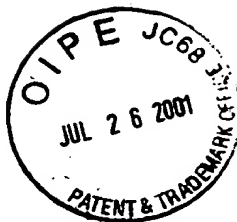


0350

*Sector 4*



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: DeJong, et al.  
Serial No.: 09/815,981  
Filed: March 22, 2001  
For: *METHODS FOR DELIVERING  
NUCLEIC ACID MOLECULES  
INTO CELLS AND ASSESSMENT  
THEREOF*  
Art Unit: 1642  
Examiner: Unassigned

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231, on this date.

07/24/2001  
Date

*Kelly Fischer*  
Kelly Fischer

**SUPPLEMENTAL RESPONSE TO NOTICE TO FILE MISSING PARTS**

Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Sir:

As a supplement to the response, filed 06/01/01, to the Notice to File Missing Parts mailed **June 14, 2001**, the following documents are submitted herewith:

- 1) A copy of the Notice to File Missing Parts;
- 2) A substitute copy of the Sequence Listing;
- 3) A computer readable copy of the substitute Sequence Listing;
- 4) An Amendment in Response to Notice to File Missing Parts;
- 5) Executed Verified Statement Pursuant to 37 § C.F.R. 1.821(f);
- 6) A check in the amount of \$55 for the extension of time fee.
- 7) Copy of Response to Missing Parts filed 06/01/01.

U.S.S.N. 09/815,981

DeJong t al.

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

- ☒ The Commissioner is hereby authorized to charge any fee, including any submitted herewith if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted,  
HELLER EHRMAN WHITE & McAULIFFE LLP

By:

  
Stephanie Seidman  
Registration No. 33,779

Attorney Docket 24601-416B

**Address all correspondence to:**

HELLER EHRMAN WHITE & McAULIFFE LLP

4350 La Jolla Village Drive, 6th Floor

San Diego, California 92122-1246

Telephone: (858) 450-8400

Facsimile: (858) 587-5360

E-mail: sseidman@HEWM.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: deJong *et al.*

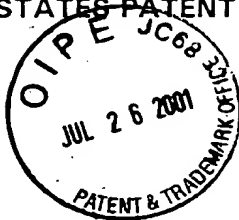
Appln. No.: 09/815,981

Filed: March 22, 2001

For: METHOD FOR DELIVERING NUCLEIC ACID  
MOLECULES INTO CELLS AND  
ASSESSMENT THEREOF

Art Unit: Unassigned

Examiner: Unassigned



I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents  
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Washington, D.C. 20231, on this date.

6/1/2001

Date

  
Lynn Morkunas

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts mailed May 14, 2001, the following documents are submitted herewith:

- 1) A copy of the Notice to File Missing Parts;
- 2) One (1) Executed Declaration for Patent Application;
- 3) Two (2) Assignments from the inventors to **CHROMOS MOLECULAR SYSTEMS, INC.** along with a Recordation Form Cover Sheet;
- 4) A check in the amount of \$105 which includes the \$65 small entity surcharge, and \$40 assignment recordation fee; and
- 5) Return Postcard.

Since an executed Small Entity Status (37 C.F.R. § 1.9(f)) is claimed the fees have been reduced to one-half.

U.S.S.N. 09/815,981  
DE JONG *et al.*  
MISSING PARTS

- ☒ The Commissioner is hereby authorized to charge any fee, including any submitted herewith if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted,  
HELLER EHRMAN WHITE & McAULIFFE LLP

By: 

Stephanie Seidman  
Registration No. 33,779

Attorney Docket 24601-416B  
Address all correspondence to:  
HELLER EHRMAN WHITE & McAULIFFE LLP  
4350 La Jolla Village Drive, 6th Floor  
San Diego, California 92122-1246  
Telephone: (858) 450-8400  
Facsimile: (858) 587-5360  
E-mail: sseidman@HEWM.com

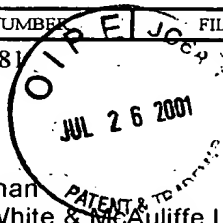


## UNITED STATES PATENT AND TRADEMARK OFFICE

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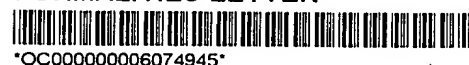
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/815,981	03/22/2001	de Jong	24601-416B

Stephanie Seidman  
Heller Ehrman White & McAuliffe LLP  
4250 Executive Square, 7th Floor  
La Jolla, CA 92037



CONFIRMATION NO. 7622

## FORMALITIES LETTER



\*OC000000006074945\*

Date Mailed: 05/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

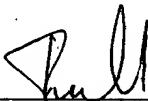
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.
- The paper or compact disc copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e). Applicant must provide a substitute paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application OR a substitute computer readable form (CRF) copy of the "Sequence Listing". These two items must be the same. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



THE STAMP OF THE U.S. PATENT AND TRADEMARK OFFICE AFFIXED HERETO  
WILL BE EVIDENCE OF RECEIPT OF THE FOLLOWING SENT VIA FIRST CLASS  
(PRIORITY) MAIL ON JUNE 1, 2001:

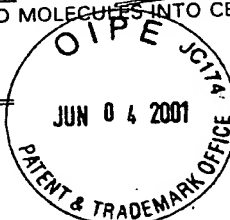
CLIENT #: 24601-416B SLS:lm  
ENCLOSURES: TRANSMITTAL LETTER (in duplicate); COPY OF NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION; ONE (1) EXECUTED  
DECLARATION FOR PATENT APPLICATION; TWO (2) EXECUTED  
ASSIGNMENT FROM THE INVENTORS TO CHROMOS MOLECULAR  
SYSTEMS, INC. AND RECORDATION FORM COVER SHEET; A CHECK IN  
THE AMOUNT OF \$105.00; and RETURN POSTCARD.  
APPLICANT: DE JONG ET AL.  
APP. NO: 09/815,981 FILED: MARCH 22, 2001  
FOR: METHODS FOR DELIVERING NUCLEIC ACID MOLECULES INTO CELLS AND  
ASSESSMENT THEREOF.

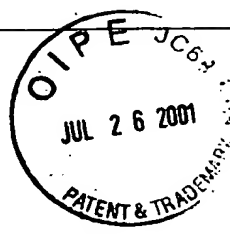
PLEASE STAMP HERE (THANK YOU):

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WILL BE EVIDENCE OF RECEIPT OF THE FOLLOWING SENT VIA FIRST CLASS  
(PRIORITY) MAIL ON JUNE 1, 2001:

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FOR: METHODS FOR DELIVERING NUCLEIC ACID MOLECULES INTO CELLS AND  
ASSESSMENT THEREOF.

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Lynn 24601-416

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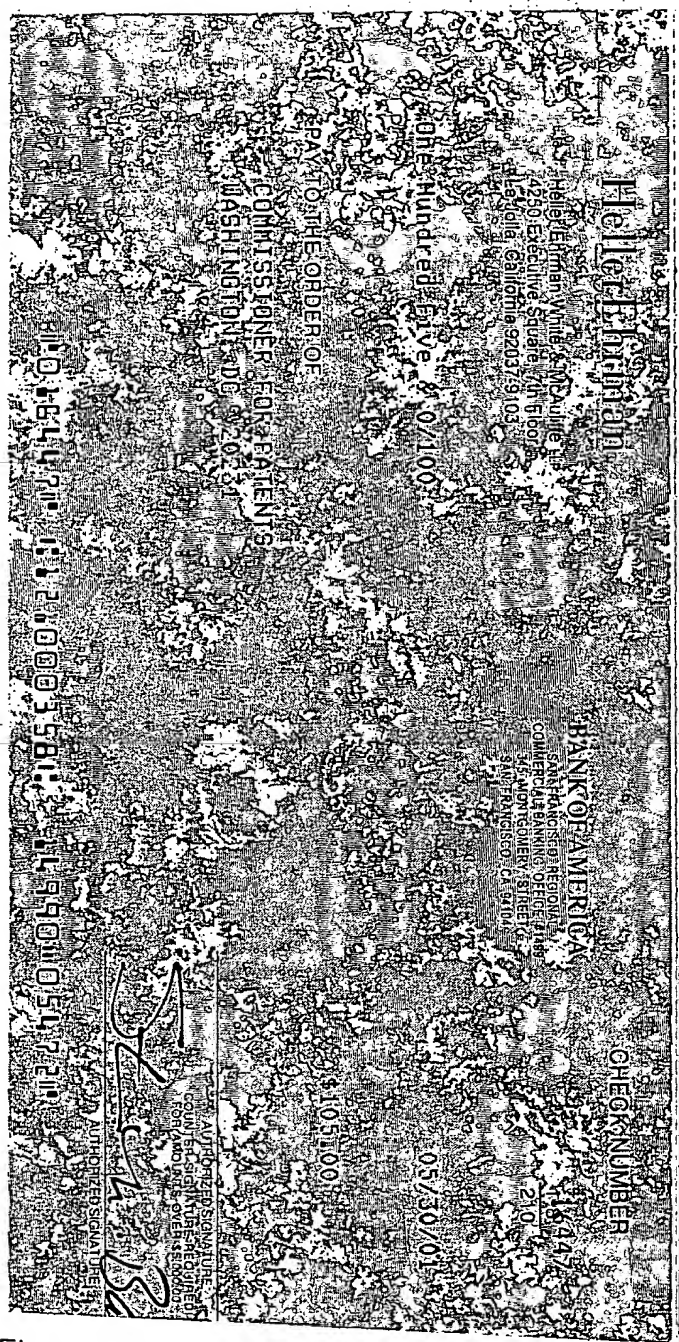
Heller Ehrman White & McAuliffe LLP

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31050 105.00 16447

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			CHECK TOTAL		

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